

Künftiger Rahmen für die Evaluierung im ELER

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Designing the RD M&E Framework post 2013

- Some actors involved („Köche“)
- The approach proposed („Rezepte“)
- The guidance under preparation („Serviervorschläge“)

Some actors...

- **CMEF Review Working Group:** EC internal, meets since Oct. 2010:
 - E.g. Draft fiches for Draft Legal Proposals
- **Evaluation Expert Committee:** E.g. Objectives and principles of the Monitoring and Evaluation System
- **Meetings with Member States:**
 - Sep. 2011: Stakeholder conference „Monitoring & Evaluation of the CAP“
 - 14/15 March 2012: Strategic Programming and Monitoring & Evaluation workshop
- **Thematic Working Group Ex-ante**

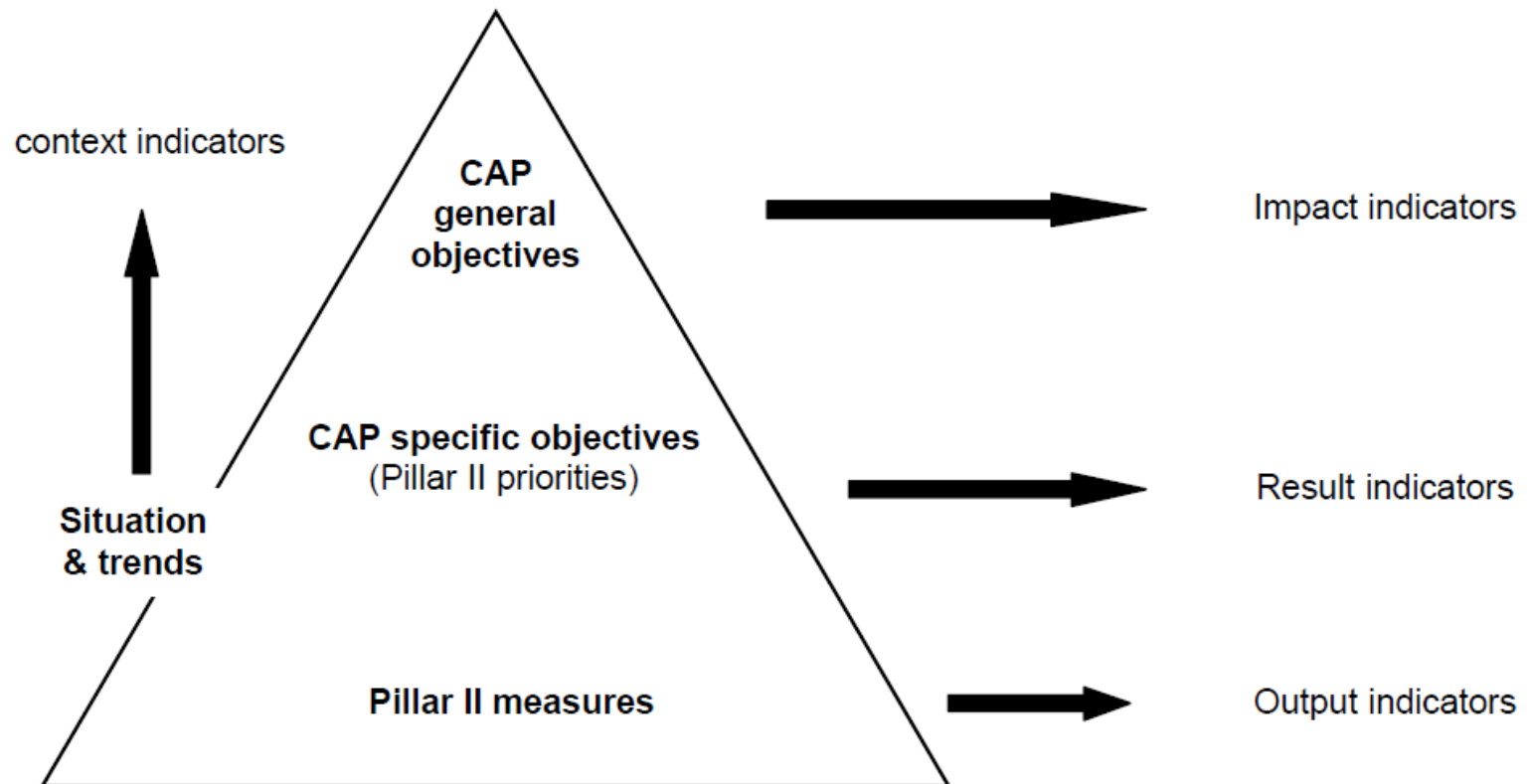
Monitoring and Evaluation for RDPs 2014-2020



Objectives of workshop on 15 March 2012 in Brussels



- *To explore the suitability and feasibility of the proposed indicators*
- *To identify alternatives*
- *To assess the feasibility of the proposed approach to recording output data*
- *To explore the feasibility of the proposed method of calculating result indicator values*
- *To identify further work needed*



Output Indicators

proposed approach

- Currently: measure-specific output indicators
- Proposal: operation/project level data items
 - captured for approved operations
 - held in management database
 - aggregated as required

Output Indicators

proposed approach

- Advantages:
 - Fewer separate pieces of information
 - Easily identified (precoded, taken directly from application form, IACS, or coded on receipt)
 - More strategic (links operations directly to focus areas and priorities)
 - Less admin burden as no separate M&E system (part of management database)
 - Flexible (aggregation as appropriate)
 - Covers selected and completed operations with no additional admin burden

Output Indicators

Questions to Member States on 14/15 March 2012



- *Does this approach, and the data proposed, allow us to adequately monitor progress in implementation towards results?*
- *Do these indicators cover the M&E needs? What is missing? What is superfluous?*
- *Is the proposed approach feasible? What is needed to put it into practice? Does it work for all proposed data items?*

Output indicators

Conclusions of workshop on 14/15 March 2012

More precise definitions and **methods** are needed

Development an **integrated database** or operational links between databases

Collection of data involves several sources and actors, **not all information from the applications forms**

Precise definitions of the type of expenditures required (top-ups, realised, committed...)

The content of quarterly monitoring submissions

The rules for aggregation

Clear definition of indicators for new measures (risk management...)

Determination of sources and timing for data collection

Definition of the lists of project types

The need to decide if projects should be attributed only to one priority or more (most suggested to choose only one for the sake of feasibility).

Use of break downs (NATURA 2000, Gender, LFA, Organic...) should be clarified and only retained where really useful.

Result & Target Indicators

proposed approach



- At least one target indicator per focus area (→ ex-ante quantification needed)
- Capture direct effects of interventions
- Expected value simple to calculate (data from application + standard coefficients etc.)
- Progress monitored regularly (aggregated from applications approved/completed)
- Values validated through evaluations

Result & Target Indicators

Questions to Member States on 14/15 March 2012



- *Are the proposed result indicators the right indicators to demonstrate the achievements of the focus areas?*
- *Do these indicators cover the M&E needs? What is missing? What is superfluous?*
- *Which indicators present significant challenges to collect, and why? Are there better alternatives or proxies?*
- *What is the feasible frequency of data collection?*

Result & Target Indicators

Conclusions of workshop on 14/15 March 2012



- Consensus that result indicators are a **key component of the hierarchy** needed to identify programme achievements;
- Should be **simple** to obtain while maintaining a real **link to policy achievements**
- Need for clear and precise **definitions, guidance** on how to obtain the data and calculate the values

Result & Target Indicators

Conclusions of workshop on 14/15 March 2012



- 5 out of 17 target indicators identified as particularly problematic:
 - three because of **data difficulties** (Änderung der Bruttowertschöpfung/AK in unterstützten Betrieben, Wasser- und Energieeinsparungen)
 - one because of **lack of definition** of the concept (über kurze Wege verkaufter landwirtschaftlicher Ertrag), and
 - one because it was **not considered as appropriate** result indicator (Durch lokale Entwicklungsstrategien abgedeckte Bevölkerung).

Impact indicators

proposed approach

- Linked to the wider overall objectives of the policy
- No targets to be established (affected by external factors)
- Assessed as part of evaluation exercise
- Will be established for the CAP as a whole (rather than specifically for RD)

Impact indicators

Questions to Member States on 14/15 March 2012

- *Are these impact indicators the right indicators for rural development (in the context of the CAP as a whole)?*
- *Do these indicators cover the M&E needs? What is missing? What is superfluous?*
- *Which indicators present significant challenges to obtain, and why? Are there better alternatives or proxies?*

Impact indicators

proposed approach

Suitability

- The **contribution of the CAP (RDP)** difficult to calculate/attrib.
- **Relevance of impacts indicators** higher at EU than MS level.
- **Existing indicators** should be used as much as possible.
- Some indicators are too dependent on **external factors**.
- **Clear definitions** are still missing.
- The **comparability** between MS and SF to be ensured.
- **Too many** indicators.
- **Ensure link** to CAP, EU2020, PC.
- More **holistic measurement**

Feasibility

- More and clearer **guidance** needed (netting out, P1-P2)
- Indicators to be adjusted to the **available data sources**.
- **Responsibility** to be clarified
- Who is responsible for reporting between **Pillar 1 and P2?**
- **Experience of evaluators** from current period to be taken into account.
- Need **coordination** between monitoring & evaluation system and data collection

Monitoring and Evaluation for RDPs 2014-2020



Objectives of workshop on 15 March 2012 in Brussels

- Need for clear and **precise definition** of all indicators, and appropriate **guidance** on how to obtain the data and calculate the values, to ensure consistent application;
- Proposed approach for **output indicators** feasible;
- Recognition that achievement-linked result/target indicators are key to demonstrating policy outcomes;
- For **impact indicators**, a major difficulty remains netting out the effects of RDP interventions;
- **Impact indicators**: diverging opinions as to whether the responsibility for should be at RDP, national or EU level;
- Certain particularly problematic indicators were identified and proposals made for **alternatives**.

The guidance prepared

- Guidance on RD Ex-ante evaluation
 - Why do we need it?
 - When?
 - What does it look like?
- Guidance on evaluation plan
 - Brainstorming on EP in Vienna Workshop on 14 May 2012
 - Minimum requirements to be defined by EC

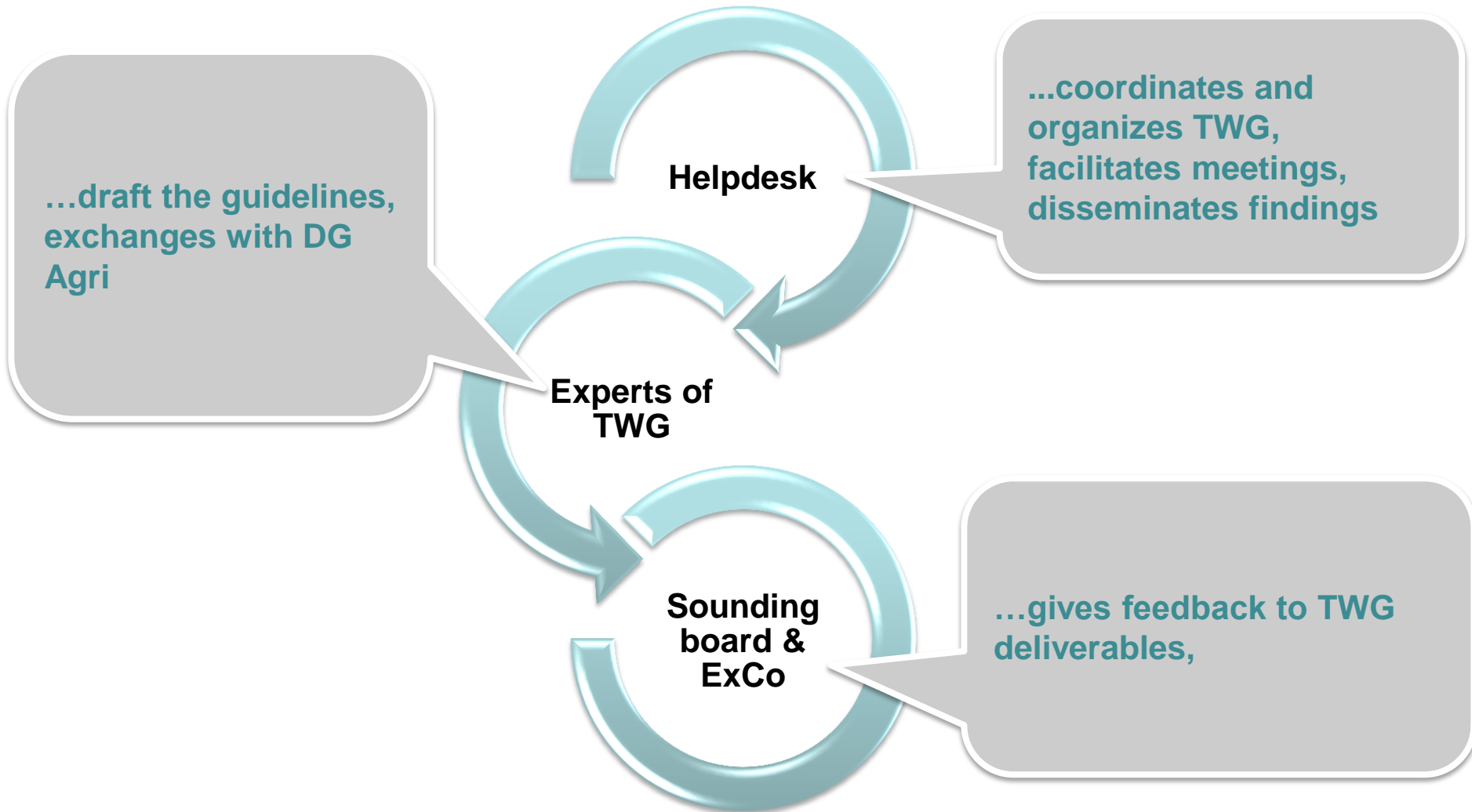
How far are MS in preparing Ex-ante?

STAGE OF PREPARATION OF ToR
(please use Member state code, e.g. AT, NL...)

<u>NOT YET STARTED</u>	<u>JUST STARTED</u>
FR PL SK MT GR PT IT (21) BE (12) LT NL RO UK HU LV	AT CZ
12	2
<u>ADVANCED</u>	<u>COMPLETED ToR</u>
FI	EE
1	1

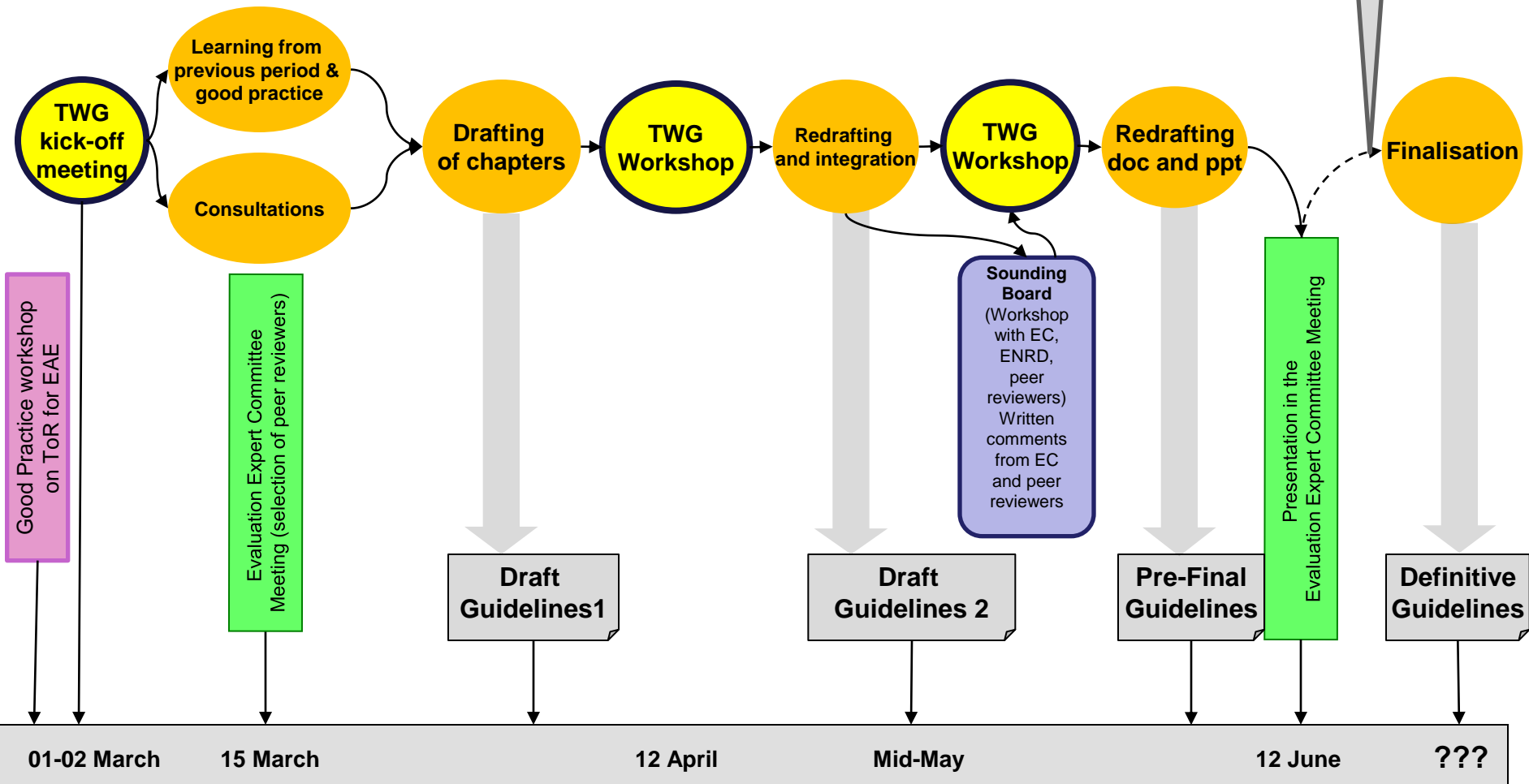
- Overall majority still has to start the preparations for tendering out ex-ante.
- Few Member States are in an advanced state.

Thematic Working Group ex-ante



Work flow of TWG

Legal provisions



Draft structure of guidelines

Introduction

- Purpose of the guidelines
- Structure of the guidelines

Rationale and purpose of ex ante evaluations

- Evaluation as a stepping stone in a learning process
- The role of the *ex ante* evaluation in the CSF
- The *ex ante* evaluation in the evaluation system

Subjects and tasks

- Definition and scope
 - Approaches and methodologies
 - Interlinkages and other issues to consider
- Including all subjects according Art. 48 of the draft general regulation (COM (2011) 615).*

Roles and responsibilities

- The role of *ex ante* evaluator
- Contractual relationships and division of responsibilities

Process and timing

- Coordination of and interlinkages between processes relating to programming, *ex ante* evaluation and the partnership contract
- Stakeholder involvement

Annexes

- Legal basis
- Default ToR ex ante evaluations
- Default ToR SEA
- Templates

Next steps

Indicator proposal

- **Ongoing:** Sub-group meetings to produce revised indicator proposal (incl. data screening)
- **June 2012:** Presentation of draft indicator proposal to ExCo Meeting (tbc)

Guidance

- 14 May (Vienna): GP Workshop on Evaluation Plan
- 15 May (Vienna): Discussion of Draft Ex-ante Guidance with Sounding Board
- 12 June (Brussels): Presentation of Draft Ex-ante Guidance to Evaluation Expert Committee